

# New York Marine and General Insurance Company

## INTELLECTUAL PROPERTY SUPPLEMENT

### Areas of Practice

|          |  |  |  |  |
|----------|--|--|--|--|
| <b>1</b> | Please provide a breakdown of the firm's intellectual property practice by showing the percentages that make up the entire percentage listed for "Intellectual Property" in the Area of Practice question. |  |  |  |
|          |  |  |  |  |
|          | % Intellectual Property Litigation   |  | % Trademark Copyright Registration & Licensing |  |
|          | % Foreign Patent Prosecution   |  | % Domestic Patent Prosecution                  |  |
|          | % Patent Infringement Counseling   |  | % Patent Searches & Filing                     |  |
|          | % Other, describe below  |  |  |  |

### Industry Areas

|   |   |  |                                  |  |
|---|---|--|----------------------------------|--|
| <b>2</b>  | Please provide a breakdown of the firm's intellectual property practice by indicating the percentages of last year's gross revenues derived from intellectual property matters within the following industries: |  |                                  |  |
|   |   |  |                                  |  |
|   | % Chemical  |  | % Biotechnical                   |  |
|   | % Pharmaceutical  |  | % Foreign Patent Prosecution     |  |
|   | % Industrial  |  | % Mechanical                     |  |
|   | % Computer (incl hardware, software, semiconductors)  |  | % Electric (other than computer) |  |
|   | % Other, describe below   |  |                                  |  |
| <i>Please answer the questions below only if the question reflects any percentage in Domestic or Foreign Patent Prosecution or Patent Searches &amp; Filings.</i> |   |  |                                  |  |

### Patent Searches

|          |  |  |
|----------|--|--|
| <b>3</b> | When undertaking a patent search, is it the policy and practice of the firm to set forth in an engagement letter the nature, scope and limitation of a proposed patent search?                                     |  |
| <b>4</b> | Does the firm engage the services of third parties to carry out patent searches?   |  |
| <b>5</b> | When rendering an opinion letter as to the results of a patent search, is it the policy and practice of the firm to qualify the opinion by reference to the nature, scope and limitations of the search conducted? |  |

### Foreign Patents

|           |   |  |
|-----------|---|--|
| <b>6</b>  | For foreign patent filings, is the client made aware of the limited timeframe for these filings and the additional requirements necessary to complete the filing? |  |
| <b>7</b>  | Are foreign patents handled by a separate unit?   |  |
| <b>8</b>  | Does the firm engage associate counsel in their foreign patent work?  |  |
| <b>9</b>  | If yes, what percentage of the firm's patents practice?   |  |
| <b>10</b> | If greater than 10% please explain steps taken to monitor work of the associate counsel:  |  |

### Payment Procedures

|           |   |  |
|-----------|---|--|
| <b>11</b> | Is the firm's responsibility for payment of maintenance fees, taxes or annuities clearly stated in the engagement letter?   |  |
| <b>12</b> | If the client is responsible, or authorization is necessary, are notices of required payments sent well in advance of the due dates?  |  |
| <b>13</b> | Is the system for sending such notices computerized?  |  |
| <b>14</b> | What calendar or docketing system is employed by the firm to record, monitor and comply with filing deadlines and other time limitations in connection with securing patents? |  |
| <b>15</b> | What policy and practice does the firm follow to ensure that clients are notified of all such deadlines and other time limitations?   |  |

### Attorney Experience

|  | Member of the Patent Bar ? | # Years with Experience in "Intellectual Property" | % of time spent in "Intellectual Property" in billable hours |              |
|--|----------------------------|--|--|--------------|
|  |                            |  | Most Recent 12 mos   | Prior 12 mos |
|  |                            |  |  |              |
|  |                            |  |  |              |
|  |                            |  |  |              |
|  |                            |  |  |              |

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or a statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for such violation.

|   |                                  |      |
|---|----------------------------------|------|
| <b>Applicant:</b>                           |                                  |      |
| <b>By</b>                                   |                                  |      |
| SIGNATURE OF OFFICER OR PARTNER OF THE FIRM | PRINT NAME OF OFFICER OR PARTNER | DATE |